

# Performance and Fault Detection Analysis of an Induction Motor Using Shapelet

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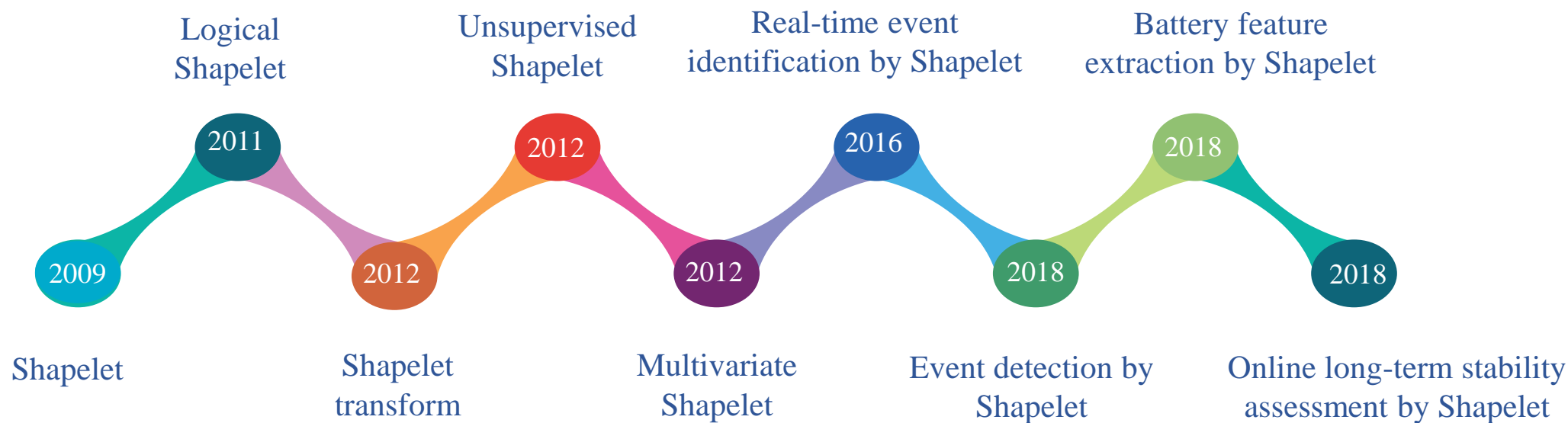
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- Theory of Shapelet
- Modeling Induction Motor (IM) in healthy and faulty situations
- Proposed approach for Induction motor fault detection using Shapelet
- Case study
- Conclusion



## Theory of shapelet

- Suppose there is a dataset of  $n$  time series  $T = \{T_1, T_2, \dots, T_n\}$  where each  $T_i$  has  $m$  samples.
- **Shapelet** is a subset of one of the time series in the dataset.
- **Shapelet** is used for finding local shape-based similarity.
- **Shapelet** must be normalized and non-variable to scale and offset.

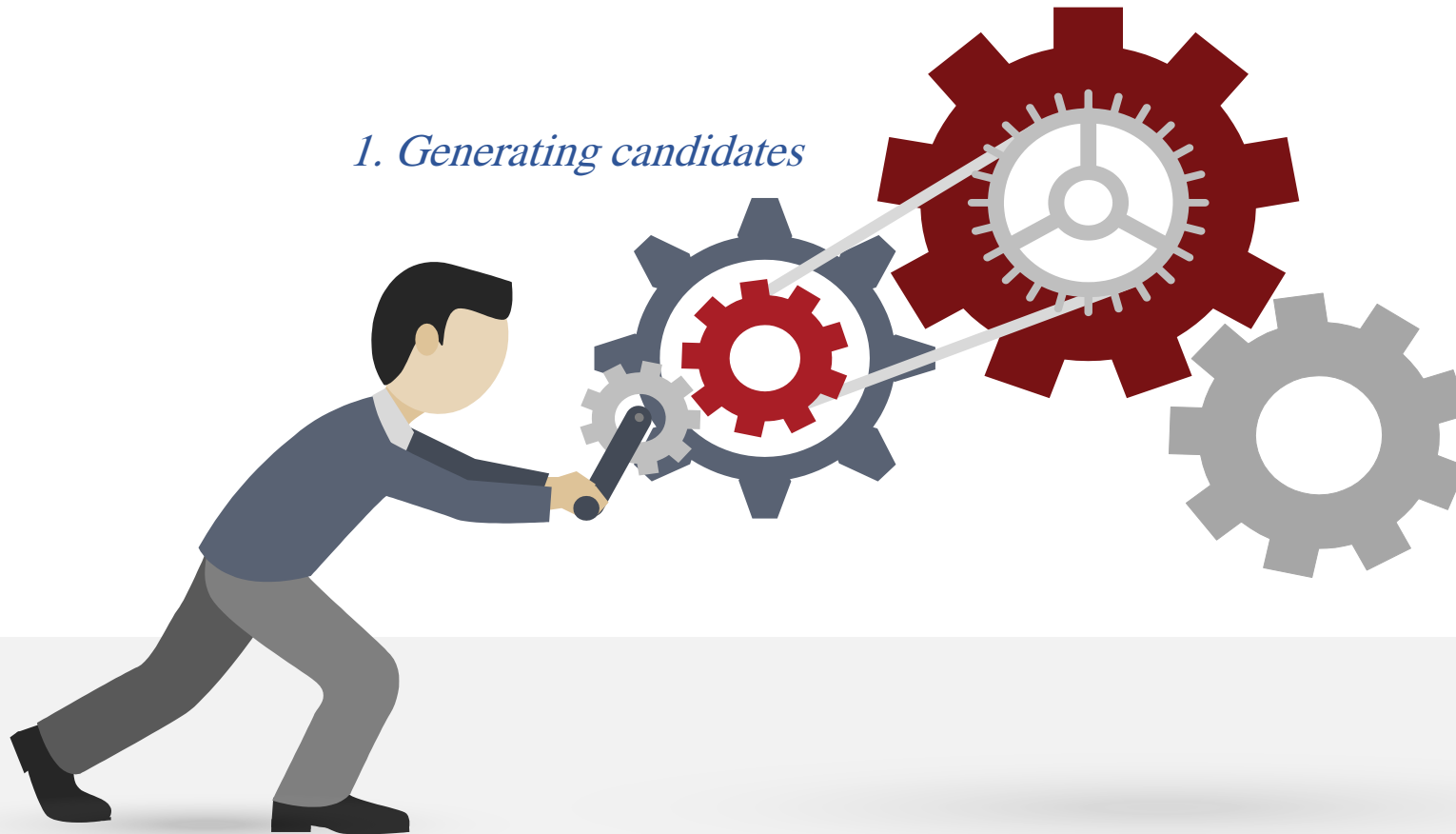


## Shapelet Discovery

*2. Shapelet distance calculation*

*1. Generating candidates*

*3. Shapelet assessment*





## *Generating candidates*

- The length of time series in the dataset is  $m$ .
- The goal is to find a candidate with length  $l$ .

$l$  can change in the range of  $[min, max]$ , while  $min \geq 3$  and  $max \leq m$ .

- All normalized subseries of  $T_i$  that have  $l$  samples are

$$W_{i,l} = \{ \langle t_{i,1}, \dots, t_{i,l} \rangle, \langle t_{i,2}, \dots, t_{i,l+1} \rangle, \dots, \langle t_{i,m-l+1}, \dots, t_{i,m} \rangle \}$$

- $W_{i,l}$  for all time series of  $T$  are

$$W_l = \{ W_{1,l} \cup W_{2,l} \cup \dots \cup W_{n,l} \}$$

- Collection of  $W_l$  for all possible amount of  $l$  for dataset  $T$  is:

$$W = \{ W_{min} \cup \dots \cup W_{max} \}$$



## *Shapelet distance calculation*

- The distance between two subseries with the same length by square Euclidean distance is calculated as

$$dist(S,R) = \sum_{i=1}^m (s_i - r_i)^2$$

where  $S$  and  $R$  are time series,  $s_i$  and  $r_i$  are their components and  $m$  is their length.

- The distance between a time series by length  $m$  ( $T_i$ ) and a subseries by the length  $l$  ( $S$ ) is

$$d_{S,i} = \min_{R \in W_{i,l}} dist(S,R)$$

- The list of distance between the shapelet candidate  $S$  and the time series  $T$  is:

$$D_S = \langle d_{S,1}, d_{S,2}, \dots, d_{S,n} \rangle$$



## *Shapelet assessment*

- The purpose of the shapelet assessment is to determine how accurate this shapelet classifies the dataset based on  $D_S$ .
- Information gain (IG) is used as a basic method for the shapelet assessment.



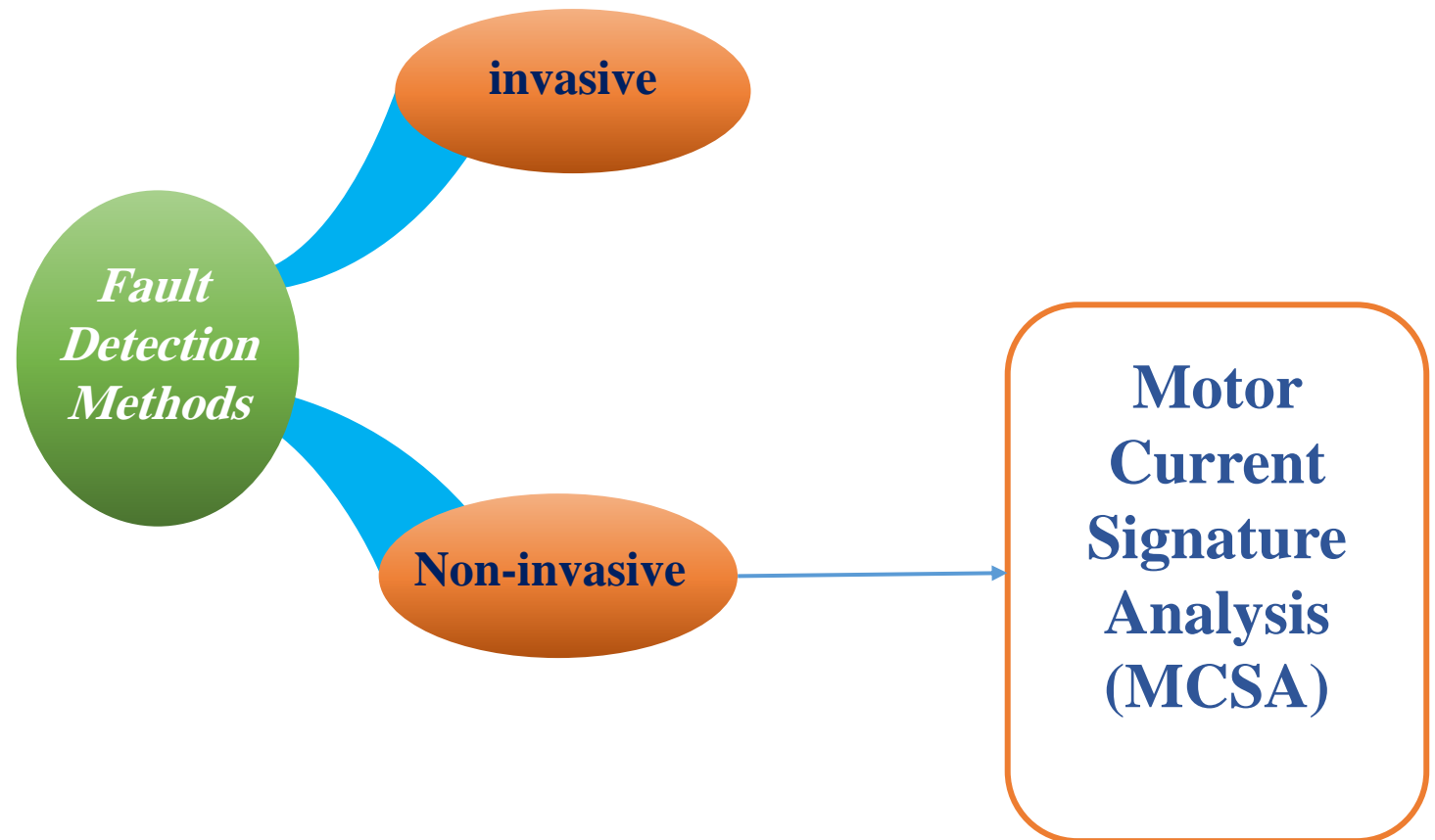
## *Induction Motor*

### Advantages

- ✓ Low cost maintenance
- ✓ Highly robust
- ✓ Power efficiency
- ✓ Reliability

### Disadvantage

- ✗ The environmental situation could cause serious faults in IM





## Model of healthy induction machine

In the  $qd0$  reference frame, the IM system is modelled by the following differential equations of the voltages:

$$V_s^{qd0} = r_s^{qd0} i_s^{qd0} + \omega \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \lambda_s^{qd0} + \frac{d\lambda_s^{qd0}}{dt}$$

$$V_r^{qd0} = r_r^{qd0} i_r^{qd0} + (\omega - \omega_r) \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \lambda_r^{qd0} + \frac{d\lambda_r^{qd0}}{dt}$$

$$r_{s,r}^{qd0} = r_{s,r} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \quad \begin{bmatrix} \lambda_s^{qd0} \\ \lambda_r^{qd0} \end{bmatrix} = \begin{bmatrix} L_{11} & 0 & 0 & L_{14} & 0 & 0 \\ 0 & L_{22} & 0 & 0 & L_{25} & 0 \\ 0 & 0 & L_{33} & 0 & 0 & 0 \\ L_{41} & 0 & 0 & L_{44} & 0 & 0 \\ 0 & L_{52} & 0 & 0 & L_{55} & 0 \\ 0 & 0 & 0 & 0 & 0 & L_{66} \end{bmatrix} \begin{bmatrix} i_s^{qd0} \\ i_r^{qd0} \end{bmatrix}$$

where  $\omega = d\theta/dt, \omega_r = d\theta_r/dt$



## Model of induction machine with broken rotor bars

- Broken rotor bar causes asymmetry in the IM rotor
- Broken rotor bar leads to non-symmetrical stator current distribution.
- In broken rotor bar fault in induction motor, the rotor becomes asymmetric by changing in  $r_r$

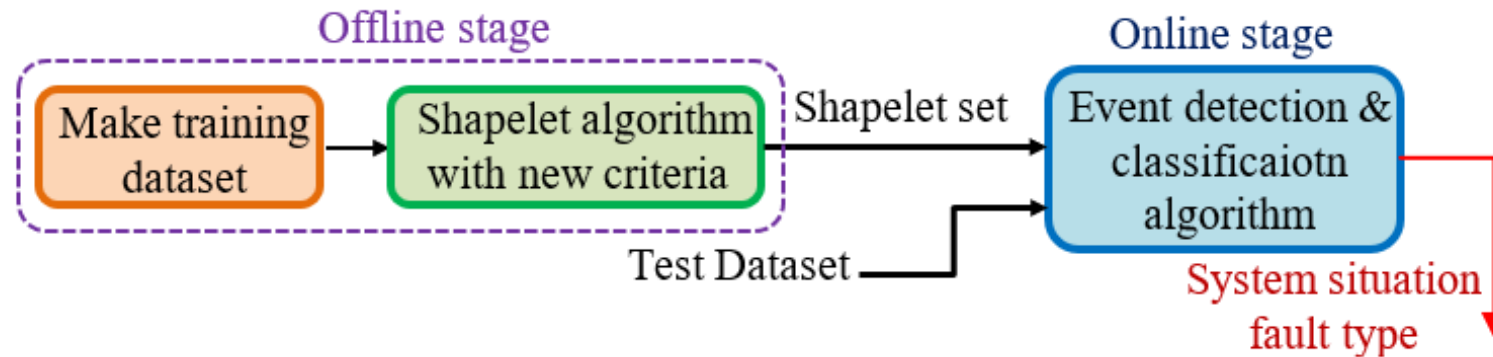
$$r_r^{new} = r_r + \begin{bmatrix} r_{r11} & r_{r12} & r_{r13} \\ r_{r21} & r_{r22} & r_{r23} \\ r_{r31} & r_{r31} & r_{r33} \end{bmatrix}$$



## Proposed approach for Induction motor fault detection using Shapelet

The proposed method has two main parts:

- The first part is the offline process that consists of :
  - a) Modeling the IM and its faults in order to make a comprehensive training dataset
  - b) Shapelet discovery with new criteria for specifying the number of members in all classes
- In the second part, the obtained Shapelets are employed to detect faults online.





## Shapelet discovery with new criteria

- this method has 3 main part, like ordinary Shapelet discovery
  - a) Generating candidates
  - b) Distance calculation
  - c) Shapelet assessment
- This method has some differences in Shapelet assessment part
- ✓ For specifying the quality of Shapelet, new criteria for the maximum and minimum number of each class member ( $Max_n$  and  $Min_n$ ) are considered together and they are employed for IG calculation.

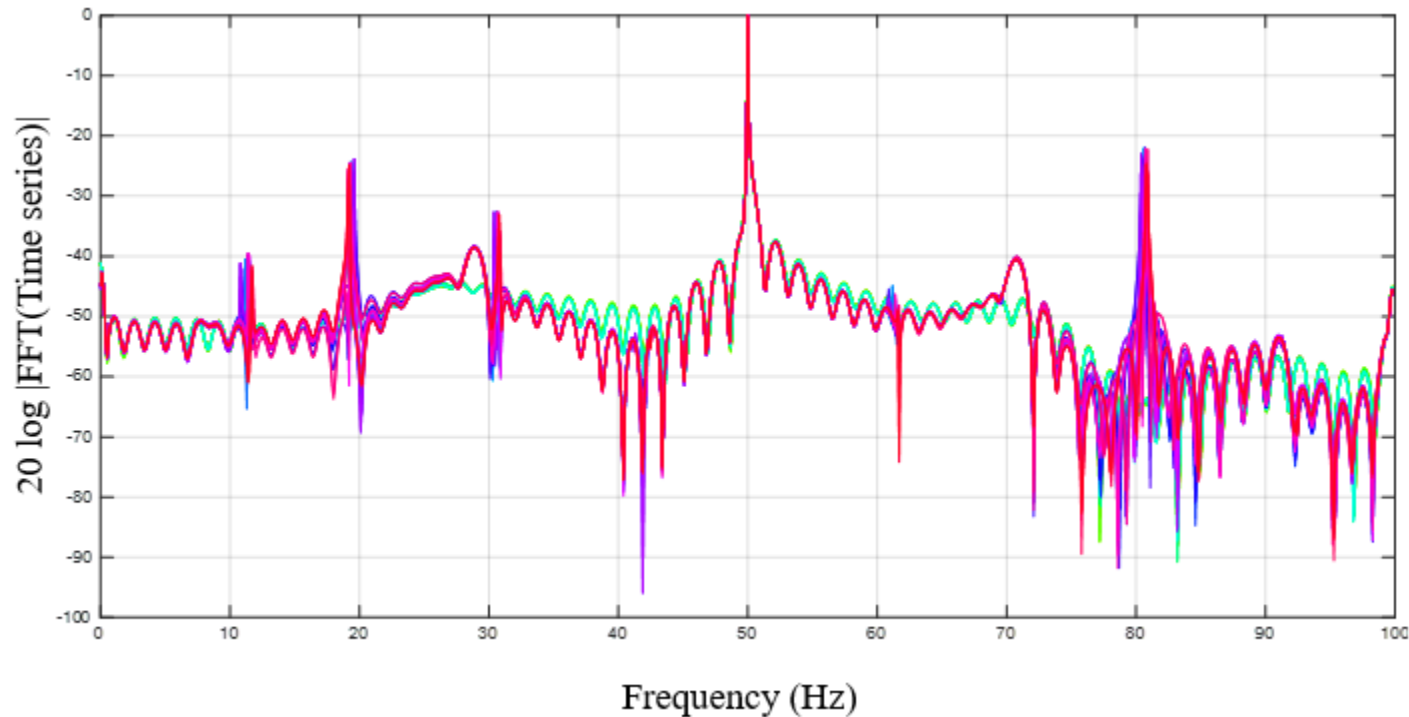


## Why new criteria are needed?

- For a dataset that consists of several classes two of which have with negligible differences, if no limit is exerted on the maximum number of members in each class, the shapelet algorithm may not distinguish these two classes.
- For a dataset that consists of several classes, if no limit is determined for the minimum number of members in each class, a sample class with various members and small differences among its own members may be considered as a different class.
- In our approach, **novel** algorithms to calculate  **$Min_n$**  and  **$Max_n$**  and then  **$IG$**  based on  **$Min_n$**  and  **$Max_n$**  are suggested.

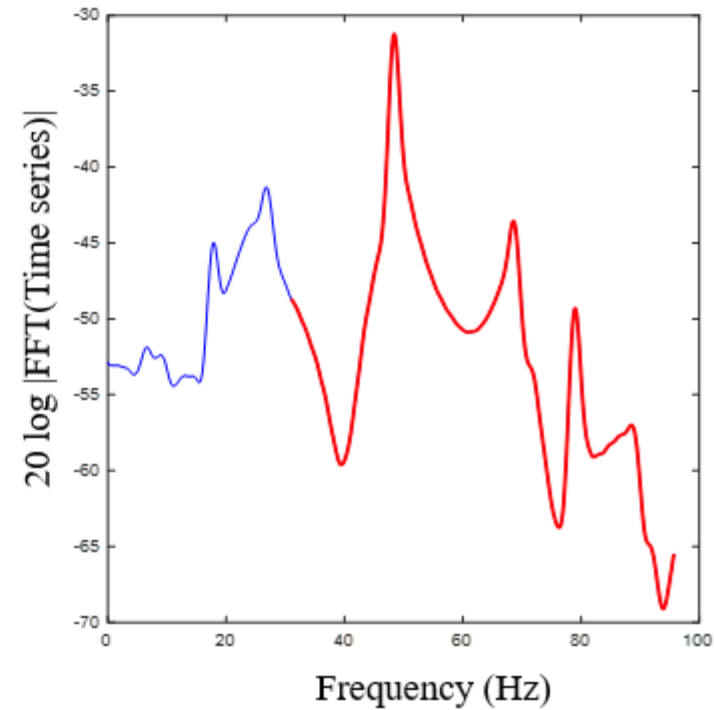
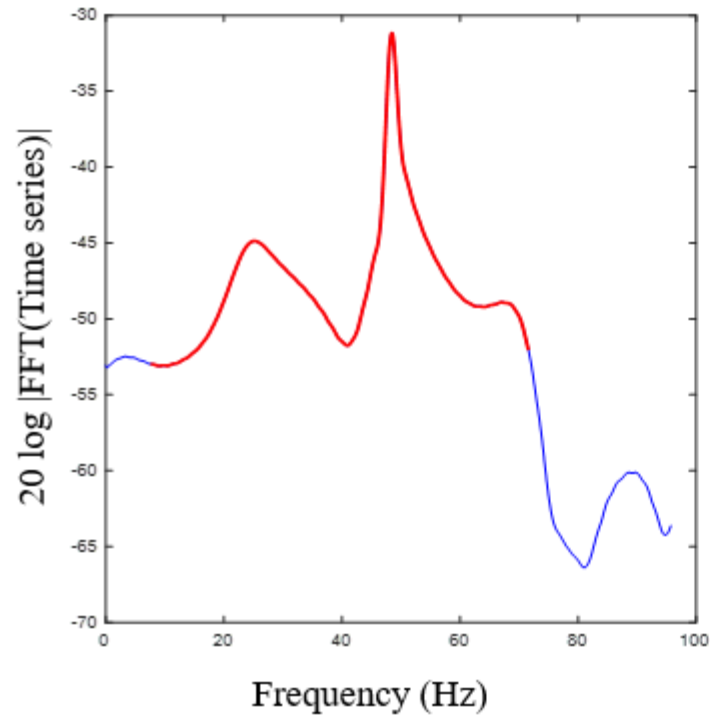
## Case Study

- A 50Hz, 6 poles, three-phase induction motor is modeled in a healthy and 6 BRB situations.
- A dataset of the Fourier transform of stator current for phase a is made for training dataset.
- The dataset consists of 50 series in the frequency domain.



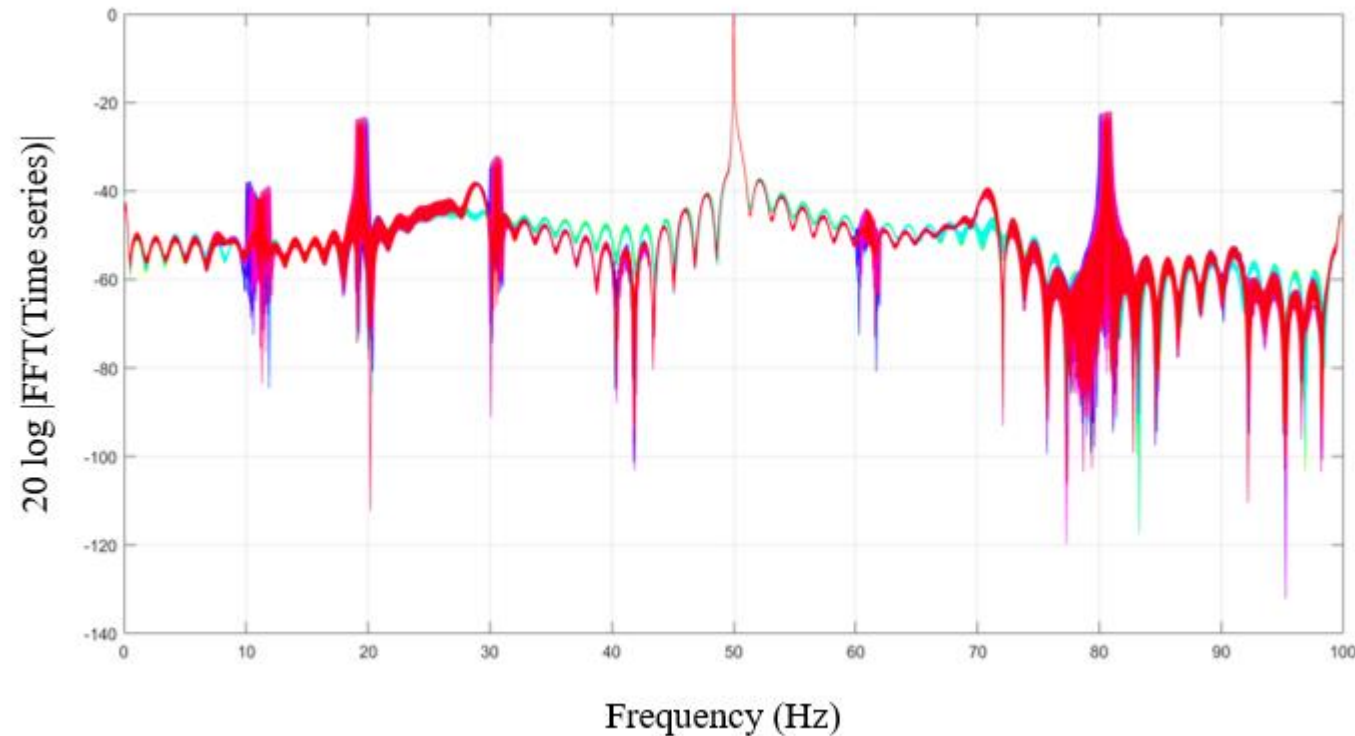
## Case Study

Shapelet Algorithm with new criteria is employed for each condition of the induction motor.



## Case Study

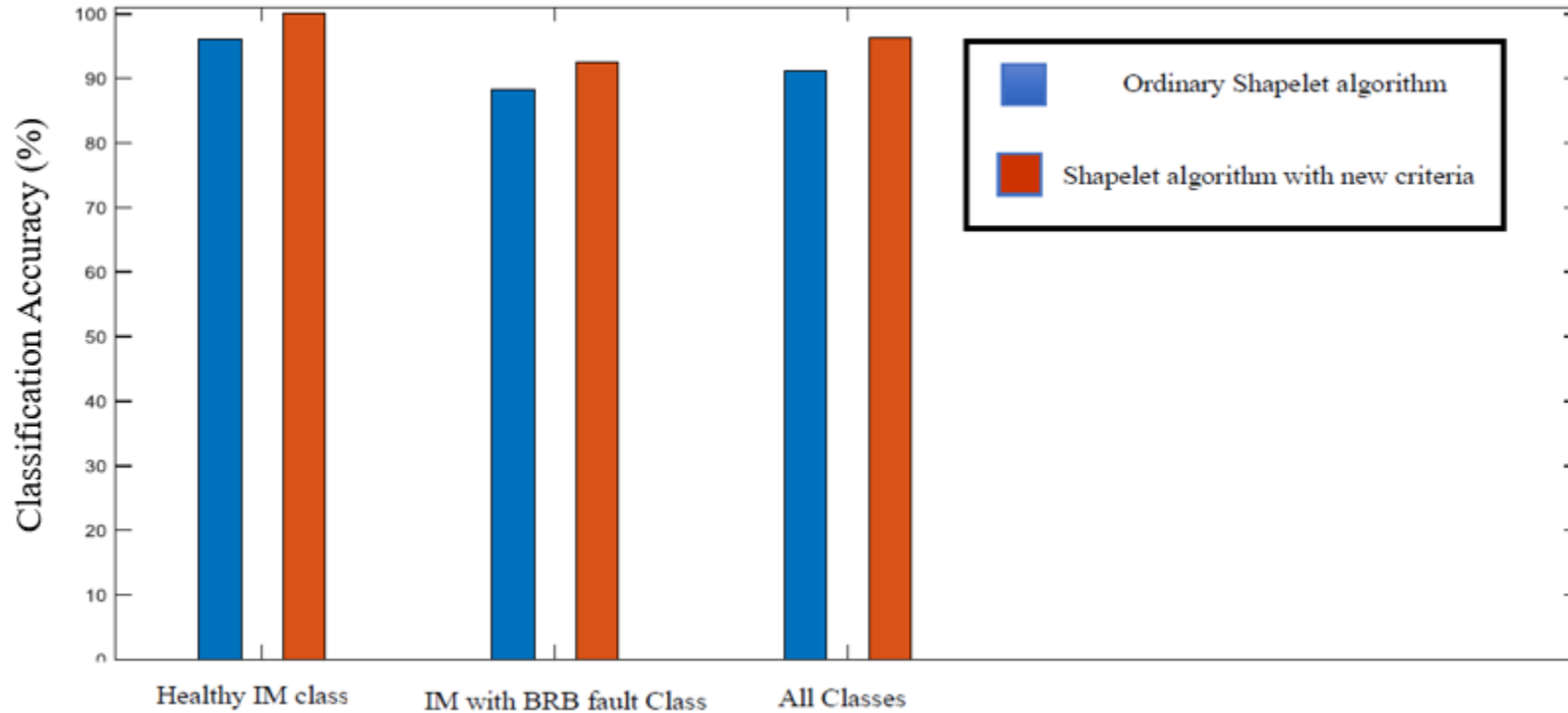
- A test dataset with 800 timeseries is made for performance evolution.
- This dataset consists of 400 timeseries in a healthy situation and 400 timeseries for the IM with 6 BRBs.







Assessing the accuracy of test dataset classification with the proposed classification algorithm and ordinary shapelet algorithm





## Conclusion

- Novel algorithms to calculate  $Min_n$  and  $Max_n$  as well as the Information Gain are proposed.
- These new criteria make shapelet algorithm more accurate.
- The classification is more efficient with these criteria.
- Shapelets can be used for feature extraction in order to detect the induction motor faults easily, accurately and efficiently.

*Thanks for your attention*

