

# Gene-Expression Programming, Evolutionary Polynomial Regression, and Model Tree to Evaluate Local Scour Depth at Culvert Outlets

Mohammad Najafzadeh<sup>1</sup> and Ali Reza Kargar<sup>2</sup>

**Abstract:** Protection of the downstream of culvert outlets against scour process, as a water conveyance structure, is a highly significant issue in design of culverts. Frequent field and experimental investigations were carried out to produce a relationship between the scour depth due to the governing variables. However, existing empirical equations do not always provide a precise estimation of the scour depth due to the complexity of the scour phenomena. In this investigation, gene-expression programming (GEP), model tree (MT), and evolutionary polynomial regression (EPR) are utilized to predict the scour depth downstream of culvert outlets. Input variables—considering effective parameters on the scour depth—were defined as sediment size at downstream, geometry of culvert outlets, and flow characteristics in upstream and downstream. Experimental datasets to develop the models were collected from different literature. Performances of the proposed models for the training and testing phases were assessed using several statistical measures. Results of performances indicated that EPR provided the lowest level of precision including index of agreement (IOA = 0.958) and root mean squared error (RMSE = 0.419) for prediction of local scour depth at culvert outlets than those obtained using MT (IOA = 0.947 and RMSE = 0.471) and GEP (IOA = 0.943 and RMSE = 0.487). In terms of accuracy, all proposed equations extracted from artificial intelligence approaches had remarkable superiority to the traditional equations. Ultimately, it has been proven that mathematical expressions given by evolutionary computing tools had sufficient generalization to present an accurate prediction of the local scour depth with respect to preserving physical meaning of results. DOI: 10.1061/(ASCE)PS.1949-1204.0000376. © 2019 American Society of Civil Engineers.

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## Introduction

Culvert outlets are hydraulic structures that control flow. Occasionally, culvert outlets are utilized for management of excessive run off. One of the most remarkable considerations for designing of culvert outlets is estimation of scour depth at outlets. Scouring process at outlets can occur due to several shortcomings in its designing. Scouring at outlets for both free and submerged flow is the most significant factor leading to the irreversible damages (e.g., Abt et al. 1985, 1987; Lim 1995). In this way, extensive laboratory investigations were carried out to identify effective parameters on the scour depth at culvert outlets. A large number of experimental research works were reported from several laboratory works. In fact, several empirical equations were extracted from experimental datasets on the basis of restricted ranges of observed data (Laushey et al. 1967; Opie 1967; Bohan 1970; Abt et al. 1984, 1985, 1987; Abida and Townsend 1991; Lim 1995; Liriano et al. 2002). In the case of traditional equations, performances of experiments indicated that there is no a general equation to provide a

precise estimation of scour depth at culvert outlets which can be validated for a board range of experimental datasets.

In the recent decade, some artificial intelligence (AI) approaches such as artificial neural networks (ANNs), adaptive neuro-fuzzy inference system (ANFIS), genetic programming (GP), gene-expression programming (GEP), group method of data handling (GMDH), and support vector machine (SVM) have been applied to predict the local scour depth at various hydraulic structures such as downstream of grade-control structures, ski-jump bucket spillways, bridge abutments, bridge piers, culvert outlets, downstream of sill structure, and below offshore structures (e.g., Azmathullah et al. 2005; Guven and Gunal 2008a, b; Azamathulla and Ghani 2010a; Ghazanfari-Hashemi et al. 2011; Azamathulla 2012a, b; Guven and Azamathulla 2012; Najafzadeh and Sarkamaryan 2018; Ebtahaj et al. 2018). In the case of scour depth prediction at culvert outlets, it should be noted that a large number of studies conducted by AI approaches were a suitable platform in order to reach the scour depth prediction with permissible level of accuracy rather than empirical equations (Liriano and Day 2001; Azamathulla and Ghani 2010b; Azamathulla and Haque 2012, 2013; Najafzadeh 2015). Among mentioned AI models, GP, GEP, and GMDH approaches have the capability of describing a relationship among input and output variables for different realms of scouring problems. On the contrast, the most primary concern related to the ANNs and ANFIS approaches is that these models have not only a black box nature but also relatively voluminous computation (e.g., Azamathulla and Ghani 2010a, b; Azamathulla and Haque 2012, 2013; Najafzadeh 2015).

Recently, evolutionary polynomial regression (EPR) and model tree (MT) techniques extracted an input-output model based best

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formulations to obtain physical meaning of governing parameters in the scour depth prediction problems. In fact, these two AI models were used to understand scouring process at hydraulic structures, as evaluation of group piers scour depth under waves and currents conditions (Etemad-Shahidi and Ghaemi 2011; Ghaemi et al. 2013), geometry of scour hole downstream of spillway (Pal et al. 2012; Samadi et al. 2014), prediction of scour depth downstream of grade-control structures and sluice gates (Lauccelli and Giustolisi 2011; Najafzadeh et al. 2018b), bed of rectangular channels (Najafzadeh et al. 2018a), and below pipelines induced currents (Najafzadeh and Sarkamaryan 2018). Furthermore, EPR and MT technique based explicit formulations have not been applied yet in the prediction of the local scour depth at culvert outlets.

In this study, EPR, MT, and GEP based formulations were employed to predict the scour depth at culvert outlets. These AI techniques were developed using experimental datasets. Performance of the proposed models were investigated in both training and testing stages by means of several statistical measures. Results of this study were compared with those obtained using empirical equations-based regression approaches. Additionally, parametric study of the AI techniques was carried out to perceive physical meaning of results. Ultimately, Fisher test was conducted to select AI model with the best performance.

## Review of Scouring Investigations at Culvert Outlets

Investigations to determine the scour depth at culvert outlets have been carried out widely in different experimental conditions. Laushey et al. (1967) and Opie (1967) initiated experiments set up for culvert outlet with pipe cross-section and uniform bed sediments. In addition, a large number of experiments were performed to find physical meaning different governing variables on local scour depth at culvert outlets (e.g., Laushey et al. 1967; Opie 1967; Bohan 1970; Ruff et al. 1982; Abt et al. 1984, 1985, 1987; Abida and Townsend 1991; Lim 1995; Aderibigbe and Rajaratnam 1998; Day et al. 2001; Liriano et al. 2002).

Ruff et al. (1982) conducted a large number of experiments to investigate effects of flow conditions, bed material, geometry of pipe culvert on the scour hole geometry.

They concluded that the maximum scour depth downstream of culvert outlets was located approximately between 0.3 and 0.4 of the maximum scour length. Abt et al. (1984) performed several experiments to evaluate effects five non-cohesive bed materials on the geometry of hole scour at culvert outlets. From his experiments, he proposed a design curves for estimation of scour hole dimensions for practical uses. Additionally, scour hole dimensions was correlated to the discharge intensity. A simple expression-based formulation was given in which dependency of the scour depth hole on a variety of non-cohesive materials based upon various discharge values, culvert diameter, mean grain diameter and material standard deviation was as follows:

$$\frac{d_s}{d_0} = 3.67 Fr_d^{0.57} \left(\frac{d_{50}}{d_0}\right)^{0.4} \sigma_g^{-0.4} \quad (1)$$

where  $d_s$  = local scour depth at culvert outlet;  $d_0$  = pipe diameter for circular outlets and outlet height for non-circular outlets;  $d_{50}$  = median sediment size;  $\sigma_g$  = geometric standard deviation of bed sediments; and  $Fr_d$  = densimetric Froude number.  $Fr_d$  is computed as

$$Fr_d = \frac{U_0}{\sqrt{g\left(\frac{\rho_s}{\rho} - 1\right)d_{50}}} \quad (2)$$

where  $\rho$  = mass density of water;  $U_0$  = mean velocity at outlet;  $\rho_s$  = mass density of bed material; and  $g$  = acceleration due to gravity.

Abt et al. (1985) carried out experiments to investigate slope effects on the dimensions of the scour hole at downstream of culvert outlets. Culvert slope was varied between 0 and 10%. Results indicated that increasing in culvert slope increase the scour depth. Also, the maximum scour hole width decreases with increasing of slope higher than 5%. Scour length decreased with increase in slope from 0 to 5%. Abt et al. (1987) performed experiments with various culvert shape including arch, square, and rectangular cross-sections. They concluded that scour length observed from square and rectangular cross section is 40% more than that obtained using circular culvert outlets. Blaisdell and Anderson (1988) carried out a comprehensive investigation of scour at downstream of cantilevered culvert outlets. From their studies, they used the computed ultimate maximum scour hole depth to compute separate contour maps for discharges covering a wide range of anticipated discharges.

Abida and Townsend (1991) have set up experiments for local scour at downstream of box-culvert outlets. From their observations, for condition of very shallow tail water, maximum scour depth decrease with decreasing of tail-water depth. Also, Lim (1995) performed experiments for scour at un-submerged and full flowing culvert outlets. Analysis of the observed data indicated that local scour depth was evaluated as a function of densimetric Froude number ( $Fr_d$ ) as follow:

$$\frac{d_s}{d_0} = 0.45 Fr_d \quad (3)$$

Furthermore, Aderibigbe and Rajaratnam (1998) used dune armored bed for reduction of maximum scour depth at downstream of culvert outlets. They observed that the average reduction of the scour depth was approximately 60%.

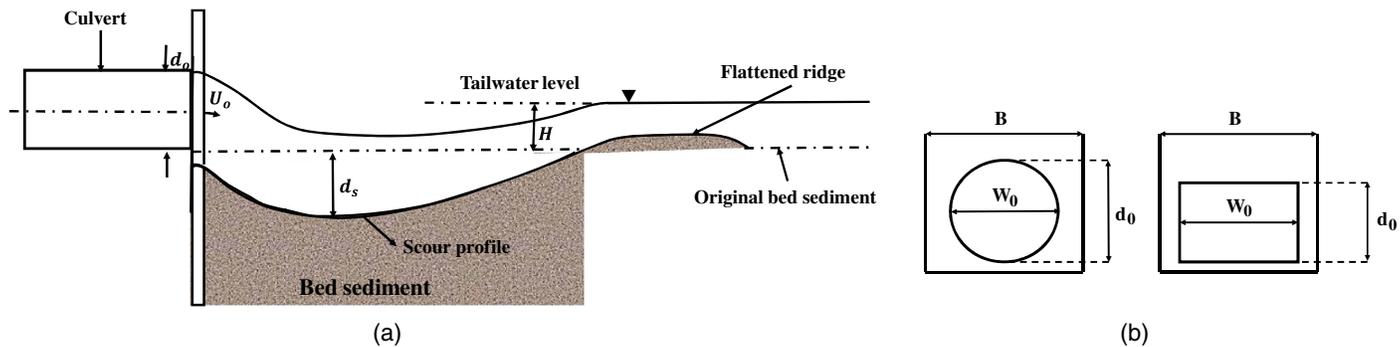
Day et al. (2001) investigated tail water depth and model scale on scouring process downstream of culvert outlets. They used pipe culverts with diameters ( $d_0$ ) 0.013, 0.020, 0.052, 0.146, and 0.311 m. Also, sediment gradations with  $d_{50}$  of 0.38, 0.59, 1.4, 4.4, and 7.9 mm were applied for experiments setup. For ratio of tail-water depth ( $H$ ) to the culvert diameter ( $d_0$ ) between 0.5 and 2, following equation-based regression was obtained:

$$\frac{d_s}{d_0} = 0.877 \left(\frac{H}{d_0}\right)^{-0.37} \ln(Fr_d) + 0.2 \ln\left(\frac{H}{d_0}\right) - 0.24 \quad (4)$$

Liriano et al. (2002) studied effects of turbulent flow structures on the scour at culvert outlets. They analyzed the main velocities, turbulence intensities, Reynolds stresses, and near-bed bursting structure. Also, experimental studies indicated that initial formation of the scour hole is related to the mean velocity exceeding the critical velocity.

Furthermore, a large number of experimental investigations have been reported by Federal Highway Administration (FHWA). They proposed several empirical equations for validation of observed data sets. Result of their works indicated that laboratory datasets have substantial restrictions which are related to the conditions of flow and bed materials (e.g., Kerenyi et al. 2003, 2007).

Azamatulla and Haque (2012) employed GEP model on the basis of evolutionary computing for prediction of the local scour depth at culvert outlets. They proposed following equation for this purpose as



**Fig. 1.** Scour process at culvert outlet: (a) definition of hydraulic parameters physical sediment properties; and (b) configuration of geometric parameters of and  $B$ ,  $d_0$ , and  $W_0$ .

$$\frac{d_s}{d_0} = \left[ \frac{-6.62 + Fr_d}{\left(\sqrt{Fr_d} \frac{H}{d_0}\right) + \frac{9.65}{\sigma_g}} \right] + \left[ \frac{d_{50}}{d_0} - \frac{H/d_0}{e^{[2.34 + (\frac{d_{50}}{d_0})]} + e^{\sigma_g}} \right] + \left( Fr_d \sqrt{\sigma_g \cdot \frac{H}{d_0}} \right)^{0.5} \quad (5)$$

### Data Presentation for Scour Depth Modeling

Prior to laboratory works for investigating the effective parameters on the scour depth at culvert outlets, the scour depth depends on geometry of outlets cross-section, various flow condition at upstream and downstream, and physical characteristics of bed materials at outlet of culvert (e.g., Opie 1967; Abt et al. 1987; Abida and Townsend 1991; Lim 1995; Liriano et al. 2002). Therefore, the following function is proposed for scour depth prediction:

$$d_s = f(\rho, \mu, U_0, d_0, B, H, W_0, \rho_s, g, d_{50}, \sigma_g) \quad (6)$$

where  $\mu$ ,  $d_0$ ,  $H$ ,  $B$ , and  $W_0$  are the dynamic viscosity of water, the pipe diameter for circular outlets and outlet height for non-circular outlets, water depth at downstream of receiving channel, channel width, and outlet width, respectively. To visualize the effective parameters on the scour depth at outlet of culvert for unsubmerged flow conditions, general feature of scouring process was sketched in Fig. 1(a). Moreover, in Fig. 1(b),  $d_0$ ,  $B$ , and  $W_0$  were specified for both circular and box culverts.

On the basis of Buckingham  $\pi$ -theorem and choosing the  $\rho$ ,  $U_0$ , and  $d_0$  as repeating variables, a set of nine non-dimensional parameters was resulted by performance of dimensional analysis:

$$\pi_9 = f_1(\pi_1, \pi_2, \pi_3, \pi_4, \pi_5, \pi_6, \pi_7, \pi_8) \quad (7)$$

in which  $\pi_1 = B/d_0$ ,  $\pi_2 = H/d_0$ ,  $\pi_3 = W_0/d_0$ ,  $\pi_4 = d_{50}/d_0$ ,  $\pi_5 = \rho_s/\rho$ ,  $\pi_6 = \sigma_g$ ,  $\pi_7 = g \cdot d_0/U_0^2$ ,  $\pi_8 = \rho \cdot U_0 \cdot d_0/\mu$ , and  $\pi_9 = d_s/d_0$ . In addition, the fifth dimensionless parameter is introduced as specific gravity  $G_s$ . Axiomatically, performance of algebraic operations on dimensionless parameters always produces other non-dimensional parameters. With getting inspiration of previous investigations, it was found that densimetric Froude number ( $Fr_d$ ) was applied in estimation of the local scour depth at culvert outlet instead of normal Froude number. Hence, with the aid of fourth, fifth, and seventh dimensionless parameters, densimetric Froude number can be calculated as

$$\pi' = \left( \frac{1}{\pi_7} \cdot \frac{1}{\pi_4} \cdot \frac{1}{\pi_5 - 1} \right)^{0.5} = \frac{U_0}{\sqrt{g \cdot d_{50} \cdot (G_s - 1)}} \quad (8)$$

With respect to Eqs. (7) and (8), Eq. (6) was rewritten as

$$d_s/d_0 = f_2(B/d_0, Fr_d, H/d_0, W_0/d_0, d_{50}/d_0, \sigma_g, \rho \cdot U_0 \cdot d_0/\mu) \quad (9)$$

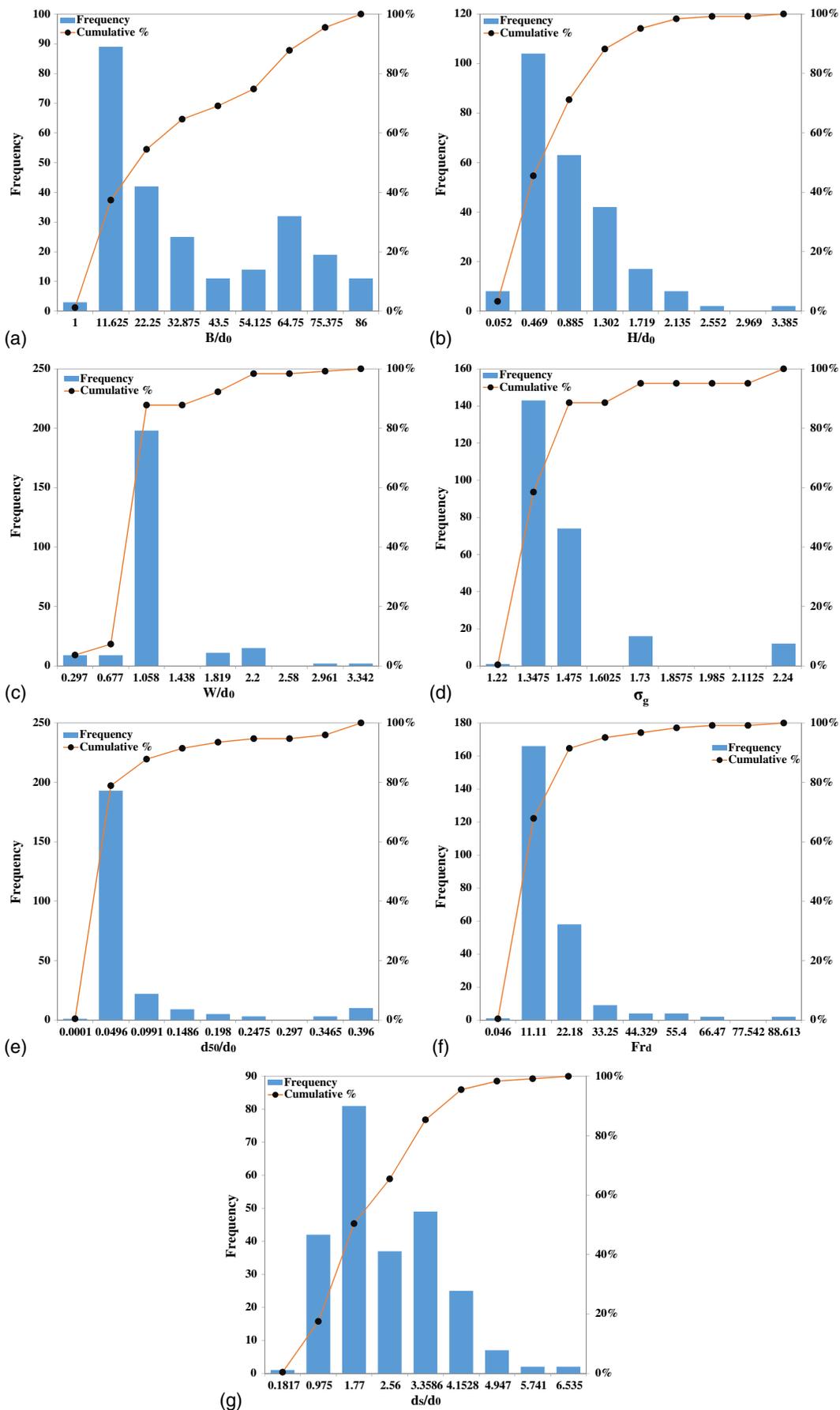
where  $\rho \cdot U_0 \cdot d_0/\mu =$  Reynolds number for flow passing through the culvert.

Due to previous experimental results, the  $G_s$  ratio can be neglected in the Eq. (9) because it varies in a very restricted range from a practical point of view. Furthermore, effects of Reynolds number of pipe culvert ( $Re_p$ ) on the local scour depth at culvert outlets has remained meaningless (e.g., Abt et al. 1984; Abida and Townsend 1991; Lim 1995; Liriano et al. 2002). Therefore,  $Re_p$  is removed from Eq. (9). In this way, Eq. (9) can be re-written as

$$d_s/d_0 = f_2(B/d_0, Fr_d, H/d_0, W_0/d_0, d_{50}/d_0, \sigma_g) \quad (10)$$

**Table 1.** Range of datasets used for development of the proposed models

References	Parameters						
	$Fr_d$	$H/d_0$	$W_0/d_0$	$B/d_0$	$d_{50}/d_0$	$\sigma_g$	$d_s/d_0$
Laushey et al. (1967)	1.04–3.37	0.5	1	5.6–9.4	0.16–0.4	1.3	0.42–1.16
Bohan (1970)	12.97–88.61	1	0.3–0.75	16–71	0.0007–0.0032	1.37	2.12–5.22
Rajaratnam and Diebel (1981)	4.45–37.1	0.196–3.385	0.75–1	1–86	0.0022–0.0827	1.27–1.37	0.66–6.53
Ruff et al. (1982)	5.53–13.47	0–0.45	1	11.93–61	0.0054–0.03	1.3–4.78	0.579–3.46
Abt et al. (1987)	7.13–23.13	0.45	1–2	61	0.02	1.33	2.03–4.06
Abida and Townsend (1991)	0.59–3.81	0.05–1.55	0.67–3.34	6.578	0.006–0.017	1.22–2.24	0.19–2.4
Lim (1995)	1.91–24.6	31.7–55	15–26	38.46–66.67	0.06–0.11	1.25	0.81–4.87
Day et al. (2001)	0.05–0.94	0.5–2	1	7.4	0–0.03	1.4	0.18–1.89



**Fig. 2.** Histograms of variables used in the models' implementation: (a)  $B/d_0$ ; (b)  $H/d_0$ ; (c)  $W_0/d_0$ ; (d)  $\sigma_g$ ; (e)  $d_{50}/d_0$ ; (f)  $Fr_d$ ; and (g)  $d_s/d_0$ .

In the case of scour depth estimation at culvert outlets, previous investigations have established that use of dimensionless parameters had the capability to provide better performance of AI models in comparison with that of dimensional parameters (e.g., Azamathulla and Ghani 2010b; Azamathulla and Haque 2012, 2013; Najafzadeh 2015). In this study, Eq. (10) was applied to develop the proposed models for prediction of scour depth at culvert outlets. The datasets used consisted of 246 samples collected from literature (Laushey et al. 1967; Bohan 1970; Rajaratnam and Diebel 1981; Ruff et al. 1982; Abt et al. 1987; Abida and Townsend 1991; Lim 1995; Day et al. 2001).

Table 1 presents ranges of input-output parameters for the scour depth prediction. Out of the dataset, about 80% (197 datasets) and 20% (69 datasets) were dedicated randomly to perform training and testing stages, respectively. Additionally, to conceptualize distribution pertained to the non-dimensional parameters, experimental datasets have been demonstrated by means of frequency histograms in Fig. 2.

### Frameworks of Models Implementation

In this section, descriptions of the GEP, MT, and EPR modeling approaches were succinctly presented. And the way of developing the proposed AI methods are carried out to extract the best relationships for prediction of scour depth at culvert outlets.

#### Development of GEP Model

GEP is a relatively contemporary extension of the GP approach. Basically, general structure of GEP is programmed in terms of mathematical expressions. The GEP approach is coded in forms of linear chromosomes, being then expressed into Expression Trees (ETs) (e.g., Azamathulla and Haque 2012; Ferreira 2006, 2001).

In fact, the ETs are computer programming with high level of knowledge extraction which are occasionally employed to present an efficient solution for a practical problem. Additionally, ETs are opted on the basis of their fitness values obtained through solution of practical problem. From every ET, a mathematical expression is released in a way that summation of all equations is equal to general solution of GEP model. Through ETs, there is a population which will discover traits, and thus will adapt to the particular problems. This is indicative of having sufficient time and acceptable values for setting parameters of GEP, and consequently accurate predictions extracted by GEP model would be remained unmasked.

Development of the GEP approach includes five steps. The first step is to select the fitness function,  $f_i$ , of an individual program ( $i$ ). This function is evaluated as follows:

$$f_i = \sum_{j=1}^{C_i} (M - |C_{(i,j)} - T_j|) \quad (11)$$

in which  $M$ ,  $C_{(i,j)}$ , and  $T_j$  are the selection range, value returned by the individual chromosome  $i$  for fitness case  $j$ , the largest value for fitness case  $j$ .

In the second stage, the set of terminals  $T$  and the set of function  $F$  were selected to generate the chromosomes. In the present study, the terminals includes a set of six independent variables, as noted in Eq. (11), in form of  $T(d_s/d_0) = \{B/d_0, H/d_0, W_0/d_0, d_{50}/d_0, Fr_d, \sigma_g\}$ .

In the case of finding the best function set, it is essential to consider general mathematical shape of empirical equations given in literature. As mentioned in introduction section, four basic operators (+, -, \*, /) and basic mathematical functions ( $\sqrt{\quad}$ , power, exp)

**Table 2.** Characterization of the proposed GEP model

Parameter	Description	Setting
$P_1$	Function set	+, -, ×, /, exp, power, √
$P_2$	Mutation rate	0.00138
$P_3$	Inversion rate	0.00546
$P_4$	One point and two-point recombination rate respectively (%)	0.277
$P_5$	Gene recombination rate	0.00277
$P_6$	Gene transposition rate	0.00277
$P_7$	Maximum tree depth	5
$P_8$	Number of gene	3
$P_9$	Number of chromosomes	30
$P_{10}$	Number of generation	2,000

were applied in empirical equations given in literature (Abt et al. 1984; Lim 1995; Day et al. 2001) so as to predict the local scour at culvert outlets. In this way, development of GEP was carried out on the basis of these considerations due to obtaining physical meaning of GEP results. The third step is to configure the chromosomal architecture. The fourth step is selection of liking function. Ultimately, for the fifth stage, a set of genetic operators such as mutation, inversion, one (or two)-point recombination, gene recombination, and gene transposition are inevitably obtained which each operator has a specific rate. The other in-depth information about structure of GEP technique were detailed in the literature (Ferreira 2001, 2006).

Through development of GEP for the local scour depth at culvert outlets, the functional set and the operational parameters applied in this study were presented in Table 2. The best formulation of GEP model, as a function of input-output variables, was obtained as

$$\frac{d_s}{d_0} = \sqrt{\sqrt{2(Fr_d)} + \sqrt{\left(\frac{d_{50}}{d_0} + Fr_d\right) \cdot \frac{H}{d_0} \cdot \frac{d_{50}}{d_0}} + Fr_d - \left(Fr_d + \frac{d_{50}}{d_0}\right) \cdot (6.283 - Fr_d)} \quad (12)$$

In addition, the expression trees related to the above formulation was illustrated in Figs. 3(a–c). In Fig. 3(c), constant values demonstrated in the third sub-tree is 6.283 and the actual variables are the  $H/d_0$ ,  $d_{50}/d_0$ , and  $Fr_d$ . From Eq. (12), it can be found that many input variables including  $\sigma_g$ ,  $B/d_0$ , and  $W_0/d_0$  have no influence on the scour depth at culvert outlets. This finding was in good agreement with results of experimental datasets reported by Day et al. (2001). On the other hand, as seen in Eq. (4) and  $H/d_0$ ,  $d_{50}/d_0$ , and  $Fr_d$  variables could play a crucial role in estimation of scour depth at culvert outlets.

#### Development of MT Model

Among the data mining techniques, MT, as a machine learning classifier, has the capability in order to present an efficient solution to the practical problem by dividing it into several sub-problems (sub-domains) which are individually distinctive from each other and consequently the ultimate results of MT for a primary domain is a combination of these sub-domains. In fact, MT technique is capable of performing a multivariable regression model for input-output variables trapped in each sub-domain (Quinlan 1992; Wang and Witten 1997; Etemad-shahidi and Ghaemi 2011; Pal et al. 2012). As a considerable advantage, MT approach has the ability to present solution to the class of continuous problems by means of piecewise linear techniques. In this way, nonlinear complicated

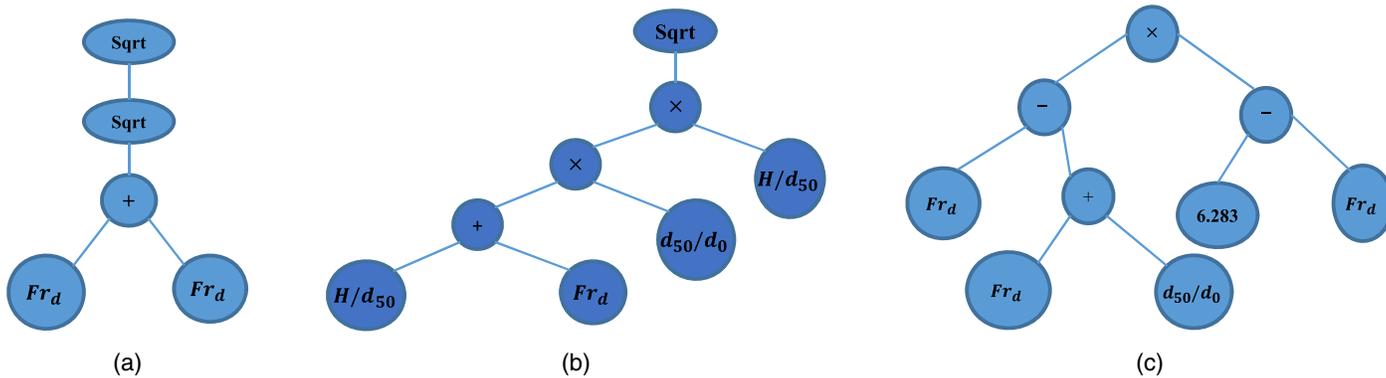


Fig. 3. Optimal expression tree (ET) structures extracted from GEP performance: (a) sub-ET1; (b) sub-ET2; and (c) sub-ET3.

models can be converted to several linear simple and easy-to-use models with an acceptable precision level of approximation. Development of MT has two main steps: (1) creating the tree structures; and (2) extracting knowledge from it. To obtain more perception of MT performance, a schematic diagram for the tree structures-building approach within nine linear regression models in Fig. 4. In fact, knowledge extraction from the MT structure related to each sub-domains was illustrated in Fig. 4(a) and additionally a general tree structure of MT approach was sketched in Fig. 4(b). According to the Figs. 4(a and b), the data samples illustrated on  $X_1$  axis was divided into two main segments, including  $X_1 \leq 5$  and  $X_1 > 5$ . If  $X_1$  is smaller than (or is equal to) 5, four linear models are created. In this way, If  $X_2 \leq 3$ , then Model 1 is generated. Otherwise three linear models are appeared in a way that If  $X_2 \leq 7$  then Model 4 is obtained. If  $X_1 \leq 2$  then Model 9 is produced. Otherwise, Model 7 is created. Moreover, there is similar trend in order to create the rest of linear models for range of  $X_1 > 5$  in Fig. 4.

Based on the domain-splitting criterion, various efficient approaches such as M5 model was frequently employed to develop MT technique. M5 approach firstly generates a regression tree by means of splitting the instance search space within a particular

process characterized by recurrence. This process is performed to minimize variations of the intra-subset in values (or quantities) from the root to the node and through the branch (Wang and Witten 1997). This variation is evaluated by means of the standard, deviation of the values which stretch out through the branch from the root to the node, being carried out by computing the desired reduction in error values from testing every variable (or attribute) at the node. The input variable that maximum level of desired error reduction is opted. According to the Quinlan (1992) investigations, this process will be continued until the standard deviation reduction becomes less than a certain percent of the standard deviation of the original dataset or when only a small quantity of data samples (just below 5%) remain. Through MT approach, standard deviation reduction (SDR) factor:

$$SDR = sd(E) - \sum_i \frac{|E_i|}{|E|} sd(E_i) \quad (13)$$

in which  $E$ ,  $E_i$ , and  $sd$  are the set of samples that reach the node, the set that results of splitting the node on the basis of the chosen variable, and standard deviation, respectively. The M5 utilizes

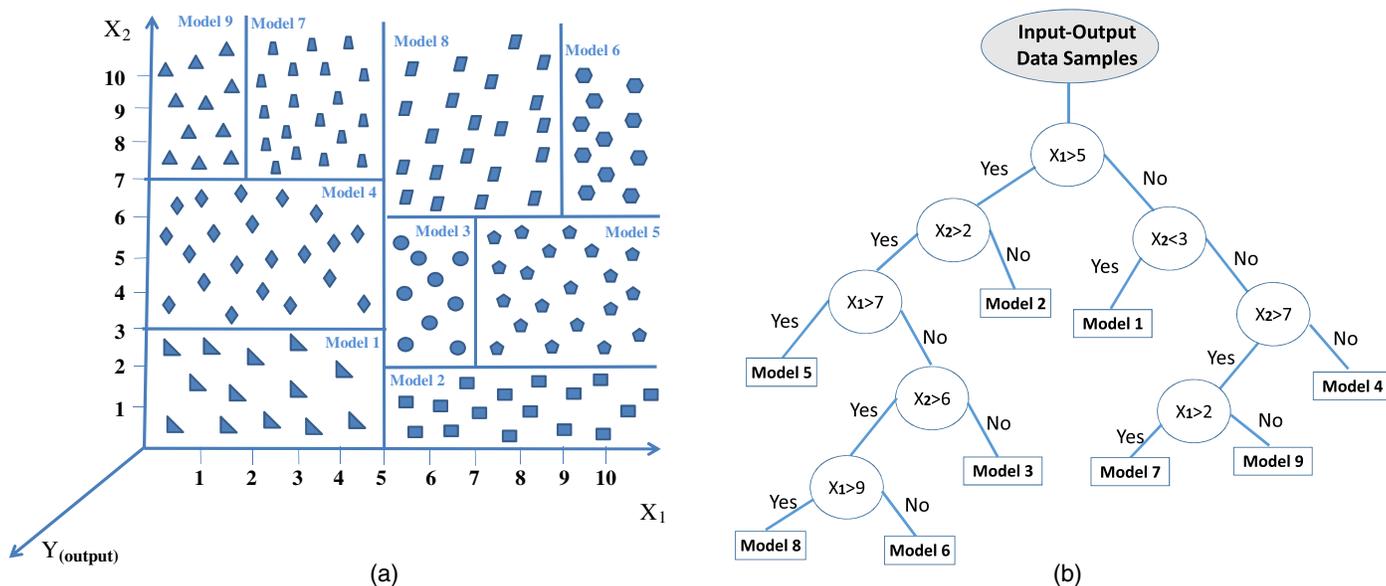


Fig. 4. Splitting the input space and prediction by MT for a input-output dataset: (a) splitting of the input space ( $X_1 \times X_2$ ) into nine subspaces using M5 model tree; and (b) schematic diagram of creating nine linear models using a set of IF-THEN rules.

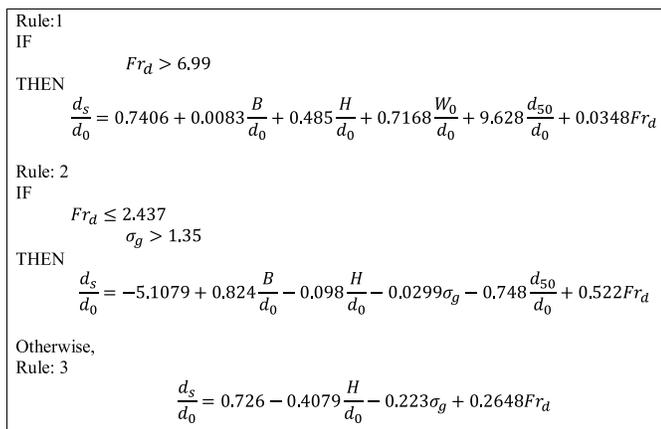


Fig. 5. Linear equations extracted from MT.

the  $sd$  parameter as an error criterion of the class values that reach a node. Generally, as tree structure grew, linear model is created for every inner node. This model is generated on the basis of values corresponded to that node and consequently all the applied test variables in the sub-tree emanated from that node. Other processes of M5 including pruning and smoothing the trees were detailed in literature (e.g., Etemad-Shahidi and Ghaemi 2011; Pal et al. 2012).

In the current research, the proposed MT approach has six non-dimensional inputs and one output parameter. MT technique was developed using three rules in form of linear multivariable equations for the local scour depth evaluation. These three linear equations were given Fig. 5. According to Fig. 5,  $Fr_d$  and  $\sigma_g$  were assigned as splitting parameters to create linear models. In the first rule given by MT, corresponding linear model had no dependency on  $\sigma_g$  variable. The second linear model indicated that variations of  $d_s/d_0$  versus  $W_0/d_0$  has remained meaningless and additionally  $W_0/d_0$ ,  $B/d_0$ , and  $d_{50}/d_0$  have not been included in the third linear model. Furthermore, the second linear equation should be simultaneously valid for two ranges of  $Fr_d \leq 5.492$  and  $Fr_d \leq 2.437$ . For instance,  $Fr_d = 4$  is not valid  $Fr_d \leq 2.437$  and consequently it cannot be satisfied in Rule 2. Overall, from Fig. 5, it can be said that all linear equations are easy-to-use with simple mathematical expressions in order to predict the local scour depth at culvert outlets.

### Development of EPR Model

EPR is one of the data-driven models which has the capability to present symbolic relationships in order to characterize complicated

systems. EPR works on the basis of a global search approach. In fact, global search applied in the EPR technique is genetic algorithm (GA) (Savic et al. 2006; Giustolisi and Savic 2006). The general mathematical expression given by EPR are composed of several additive terms multiplied by as many coefficients as

$$\hat{Y} = a_0 + \sum_{j=1}^m a_j \cdot (X_1)^{ES(j,1)} \cdot \dots \cdot (X_k)^{ES(j,k)} \cdot f((X_1)^{ES(j,k+1)} \cdot \dots \cdot (X_k)^{ES(j,2k)}) \quad (14)$$

where  $m$  is the maximum number of mathematical terms,  $a_0$  is the bias term,  $a_j$  is a set of coefficients for mathematical relationship,  $X_i$  are the input variables (or independent candidate) for EPR model,  $\hat{Y}$  is the output variable predicted by EPR model,  $k$  is the number of input variables, function  $f$  whose general mathematical structure is selected by user, and  $ES$  is a set of user-specified exponents (Giustolisi and Savic 2006, 2009; Savic et al. 2009; Laucelli and Giustolisi 2011; Laucelli et al. 2012).

In the case of EPR development, three basic objective functions are required to be considered. In this way, EPR searches  $m$ -dimensional formulations by means of two or three objectives as (1) the maximization of model accuracy; (2) the minimization of number of model coefficients; and (3) the minimization of the number of input variables applied in the EPR modeling. In fact, multi-objective genetic algorithm (MOGA) has been employed in the EPR structure to find optimal mathematical relationship (e.g., Savic et al. 2009; Altomare et al. 2013). EPR-MOGA is performed in two various media. In the first place, setting parameters of EPR such as type of objective functions, number of mathematical terms, range of exponents, and type of mathematical formulation used in the EPR modeling are defined in Excel software. Secondly, all the coefficients of mathematical relationship related to the EPR technique are optimized by means of GA coded in MATLAB. Several mathematical relationships were obtained within training stage and ultimately the best equation with the highest level of accuracy when observed values (output variable) are compared with values predicted by EPR model.

In the current study, to develop EPR mode for prediction of the local scour depth at culvert outlets,  $W_0/d_0$ ,  $B/d_0$ ,  $d_{50}/d_0$ ,  $H/d_0$ ,  $Fr_d$  and  $\sigma_g$  were considered as input variables. Furthermore, the range of exponents  $EX$  is  $[-2; -1.5; -1; -0.5; 0; 0.5; 1; 1.5; 2]$ ; the maximum number of mathematical terms ( $m$ ) is equal to 5. All the non-negative coefficients  $a_j$  were allowed to be considered in equations given by EPR model with existence of bias  $a_0$ . To obtain the optimum equation by EPR, values of setting parameters were given in Table 3.

With respect to defaults of proposed EPR model, the most precise equation was obtained as

$$\begin{aligned} \frac{d_s}{d_0} = & +1.0793 \frac{(Fr_d)^{0.5}}{\sigma_g} \exp\left(-0.5\sigma_g - 2 \frac{d_{50}}{d_0}\right) + 0.54262 \sigma_g^{0.5} \exp\left(-2 \frac{d_{50}}{d_0}\right) \\ & + 5.4148 \times 10^{-5} \left(\frac{B}{d_0}\right)^2 \left(\frac{W_0}{d_0}\right)^{0.5} \sigma_g^2 (Fr_d)^{0.5} \exp\left(-2 \frac{H}{d_0} + 0.5 \frac{W_0}{d_0} - 0.5\sigma_g - 2 \frac{d_{50}}{d_0}\right) \\ & + 5.1069 \times 10^{-6} \left(\frac{B}{d_0}\right)^2 \left(\frac{H}{d_0}\right)^{1.5} \left(\frac{W_0}{d_0}\right)^2 \sigma_g^2 \left(\frac{d_{50}}{d_0}\right)^{1.5} (Fr_d)^2 \exp\left(+0.5 \frac{W_0}{d_0} - 1.5 \frac{d_{50}}{d_0}\right) \\ & + 0.0028595 \left(\frac{B}{d_0}\right)^2 \left(\frac{H}{d_0}\right)^2 \left(\frac{W_0}{d_0}\right)^{1.5} \sigma_g^2 \left(\frac{d_{50}}{d_0}\right) (Fr_d) \exp\left(-2 \frac{H}{d_0} - 0.5\sigma_g - 2 \frac{d_{50}}{d_0}\right) + 0.15872 \end{aligned} \quad (15)$$

## Results and Discussion

The results of proposed models approach and empirical equations have been given in this section. For evaluation of statistical analysis performances, index of agreement (IOA), root mean square error (RMSE), Akaike information criterion (AIC), and scatter index (SI) can be defined as follows:

$$IOA = 1 - \frac{\sum_{i=1}^{NT} (d_s/d_{oi(Predicted)} - d_s/d_{oi(Observed)})^2}{\sum_{i=1}^{NT} [|d_s/d_{oi(Predicted)} - \bar{d}_s/d_{o(Observed)}| + |d_s/d_{oi(Observed)} - \bar{d}_s/d_{o(Observed)}|]^2} \quad (16)$$

$$RMSE = \left[ \frac{\sum_{i=1}^{NT} (d_s/d_{oi(Predicted)} - d_s/d_{oi(Observed)})^2}{NT} \right]^{1/2} \quad (17)$$

$$AIC = NT \cdot \ln(NT \cdot RMSE^2) + 2NOV \quad (18)$$

$$SI = \frac{\sqrt{(1/NT) \sum_{i=1}^{NT} ((d_s/d_{oi(Predicted)} - \bar{d}_s/d_{o(Predicted)}) - (d_s/d_{oi(Observed)} - \bar{d}_s/d_{o(Observed)}))^2}}{(1/NT) \sum_{i=1}^{NT} d_s/d_{oi(Observed)}} \quad (19)$$

where  $NT$  = population size of observations; and  $NOV$  = number of independent variables. The IOA as a standardized criterion for evaluation of the proposed model prediction error ranging from 0 to 1. A value of 1 shows the most permissible performance and additionally 0 shows that the proposed model stands at lowest level of accuracy without an agreement between observed values and predicted ones. Furthermore, AIC has the capability of evaluating relative quality of statistical performances for a given datasets. Negative values of AIC indicates better performance of the proposed model in comparison with positive ones.

Quantitative results of the proposed models were given in Table 4. IOA (0.962) and RMSE (0.465) given by MT indicated better performance for the training stage than those obtained

using EPR (IOA = 0.957 and RMSE = 0.495) and GEP (IOA = 0.941 and RMSE = 0.582). Moreover, MT provided the lowest value of AIC (750.95) rather than GEP (AIC = 839.81) and EPR (AIC = 776.71). In the case of SI measure, MT model with SI of 0.231 has superiority to the GEP (SI = 0.289) and EPR (SI = 0.246). Generally, it can be said that MT, introduced in form of linear formulations, predicted the local scour depth with permissible level of precision in comparison with other proposed models. Qualitative performance of the proposed models for training stage were illustrated in Fig. 6. From Fig. 6, for observed  $d_s/d_0$  between 0 and 2, all the proposed models indicated relatively significant over-prediction and additionally, for  $d_s/d_0 = 2-7$ , almost dimensionless scour depth have placed in an allowable error bound.

In the testing stage, with respect to IOA and RMSE values, Eq. (14) extracted from EPR produced the local scour depth at culvert outlets with relatively lower computational error (IOA = 0.958 and RMSE = 0.419) in comparison with GEP (IOA = 0.943 and RMSE = 0.487) and MT (IOA = 0.947 and RMSE = 0.471). Also, SI value was indicative of being better performance of EPR (0.181) related to the MT (SI = 0.207) and GEP (SI = 0.217). Overall, it should be noted that ERP model with representation of easy-to-use mathematical expression had the most accurate prediction of local scour depth compared with the other proposed methods. On the other hand, MT and GEP have stood the second and third ranks in terms of accuracy, respectively. In terms of qualitative comparisons, performances of the proposed AI approaches for testing stage were demonstrated in Fig. 7. From Fig. 7, for the observed  $d_s/d_0$  between 0.25 and 1, all the proposed models had insignificant over-prediction, whereas for the observed  $d_s/d_0 = 1$  and 5, MT had relatively higher level of under-prediction in comparison with GEP and EPR techniques. In fact, for this range of dimensionless scour depth, almost points on Fig. 7 have placed in the permissible error bound for prediction of the scour depth at culvert outlets.

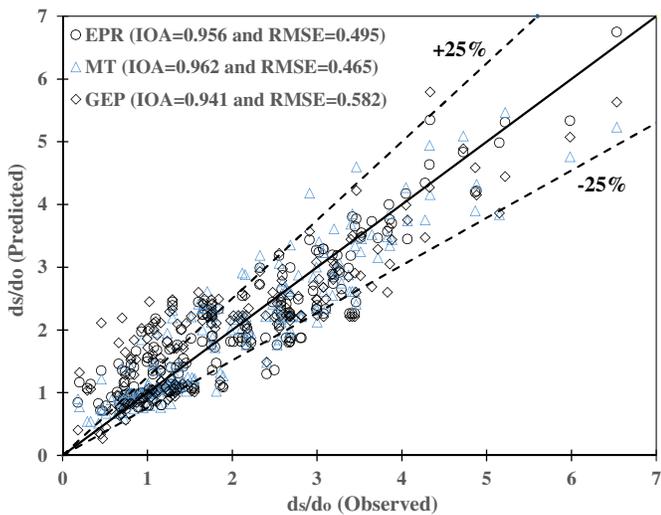
In the current study, four empirical equations were used to evaluate the local scour depth at culvert outlets. Statistical results of traditional equations were given in Table 4. Eq. (1), proposed by Abt et al. (1984), could present relatively accurate estimation of local scour depth at culvert outlets with IOA of 0.761 and RMSE of 1 in comparison with Eq. (3) given by Lim (1995) (IOA = -4.127 and RMSE = 4.648). From Table 4, it can be found that

**Table 3.** Setting parameters for the proposed EPR

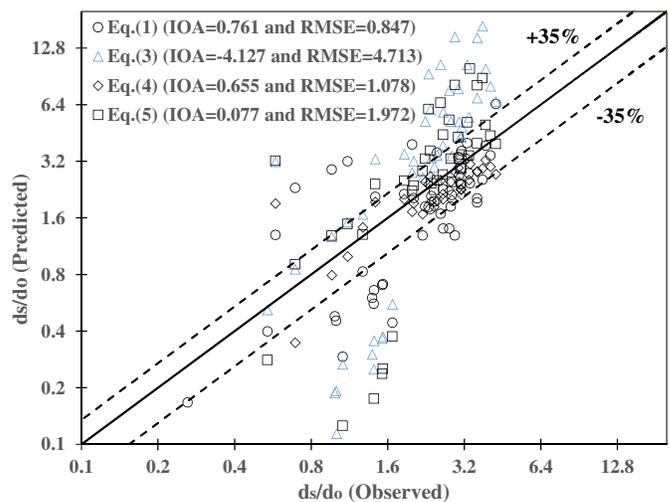
Parameter description	Parameter setting
Function set	Exponential
Type of model	Statical
Type of mathematical expression	$\hat{y} = a_0 + \sum_{j=1}^m a_j (X_1)^{ES(j,1)} \dots (X_K)^{ES(j,K)} \times f((X_1)^{ES(j,K+1)}) \dots f((X_K)^{ES(j,2K)})$
Exponents range	[-2, -1.5, -1, -0.5, 0, 0.5, 1, 1.5, 2]

**Table 4.** Results of performances for the proposed models and empirical equations

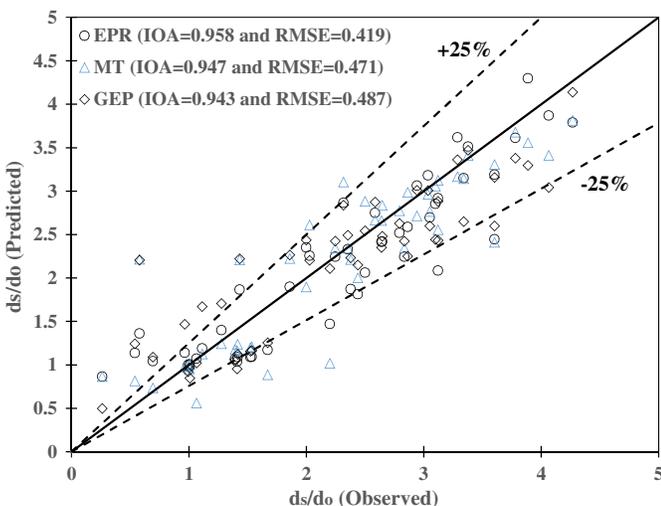
Model	IOA	RMSE	AIC	SI
Training stage				
EPR	0.957	0.495	776.71	0.246
MT	0.962	0.465	750.95	0.231
GEP	0.941	0.582	839.81	0.289
Testing stage				
EPR	0.958	0.419	117.588	0.181
MT	0.947	0.471	129.013	0.207
GEP	0.943	0.487	132.264	0.214
Eq. (1) [proposed by Abt et al. (1984)]	0.761	1	202.97	0.428
Eq. (3) [proposed by Lim (1995)]	-4.127	4.648	353.27	1.737
Eq. (4) [proposed by Day et al. (2001)]	0.655	1.205	221.01	0.406
Eq. (5) [proposed by Azamathulla and Haque (2012)]	0.077	1.972	269.25	0.823



**Fig. 6.** Scatter plot of observed and predicted scour depth at culvert outlets for training of the proposed models.



**Fig. 8.** Scatter plot of observed and predicted scour depth at culvert outlets for testing of the empirical equations.



**Fig. 7.** Scatter plot of observed and predicted scour depth at culvert outlets for testing of the proposed models.

Day et al. (2001) equation [Eq. (4)] has stood at the second rank in terms of prediction precision with IOA of 0.655 and RMSE of 1.25 among empirical equations. Moreover, AIC and SI values produced by Eq. (4) indicated this superiority to the Eq. (3) (AIC = 353.27 and SI = 1.737) and Eq. (4) (AIC = 221.01 and SI = 0.406). Ultimately, statistical measures presented in Table 4 indicated that Eq. (5) given by Azamathulla and Haque (2012), provided the local scour depth with the largest computational errors (IOA = 0.077 and RMSE = 1.972) compared with the other traditional equations. In the case of comparisons, performances of the traditional equations for testing stage have been presented in Fig. 8. From Fig. 8, for observed values of  $d_s/d_0$  between 0.6 and 1.6, Eq. (1) provided the local scour depth with low level of under-prediction (or over-prediction). This means that a fair amount of points have placed out of  $\pm 35\%$  bound and additionally the rest of points have placed in an allowable range of computational error for observed  $d_s/d_0 = 0.2-6$ . As seen in Fig. 8, Eq. (3), given by Lim (1995), has produced the scour depth with tangible level of under-prediction for

the observed  $d_s/d_0 = 0.8-1.6$  and, for the observed  $d_s/d_0$  between 1.6 and 6.4, remarkable over-prediction was illustrated. Qualitative performance of Eq. (4) showed that almost  $d_s/d_0$  values have placed in the proposed error bound. Moreover, for the observed  $d_s/d_0$  between 0.8 and 1.6, a few points were indicative of under prediction and additionally this trend can be seen for the observed  $d_s/d_0 = 1.6$  and 6.4.

Through this study, in the terms of a comparison between proposed models and empirical equations, results of Table 4 indicated that application of AI models based on evolutionary computing for a wide range of experimental datasets and various experimental conditions had better performance than the traditional models. As a major drawback, all empirical equations mentioned in this investigation were proposed merely for a limited range of datasets. This means that these equations did not have the capability to generalize the local scour depth at culvert outlets.

### Parametric Study

In the first place, remarkable results of previous experimental investigations indicated that densimetric Froude number ( $Fr_d$ ) was considered as the most effective variable on the local scour depth at culvert outlets (e.g., Lim 1995; Day et al. 2001). In this way, effects of  $Fr_d$  on the local scour depth was investigated with respect to performance of the proposed AI approaches. Fig. 9 illustrated variations of dimensionless local scour depth versus densimetric Froude number for all proposed AI techniques. From Fig. 9, it can be inferred that  $d_s/d_0$  had a dramatic upward trend for  $Fr_d$  between 0.05 and 20. For  $Fr_d = 20-88.61$ , even though slope of variations has decreased, general pattern given in Fig. 8 had an upward trend. Overall, variations of  $d_s/d_0$  versus  $Fr_d$ , demonstrated in Fig. 9, were in good agreement with those experimental results reported in literature (Laushey et al. 1967; Bohan 1970; Rajaratnam and Diebel 1981; Abt et al. 1984; Lim 1995).

### Fisher Test for the Proposed AI Techniques

In this section, the analysis of variance (ANOVA) approach was carried out to assess statistical reliability pertained to the AI techniques used in this study. In this way, Fisher test was applied to evaluate performance of AI models and empirical equations.

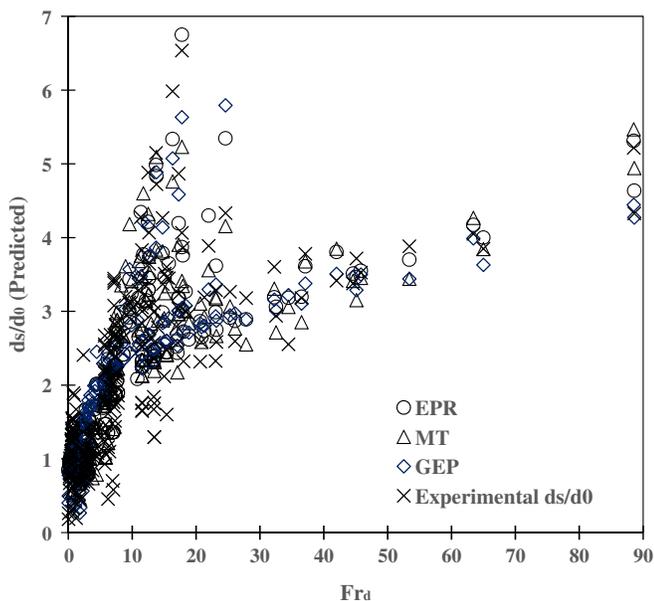


Fig. 9. Variations of  $d_s/d_0$  versus  $Fr_d$ .

Through the Fisher test, to assess the hypothesis asserting that value of variation expressed by the regression model is higher than the variation expressed by the averages, the F ratio was employed. In the Fisher test, it is claimed that null hypothesis is accepted if  $F_0 > F_{\alpha,k,n-p}$ , where  $\alpha$  = significant level;  $k$  = number of independent variables;  $p = k + 1$ ; and  $n$  = size of the data sample. For all the proposed AI techniques and traditional equations, values of  $\alpha$ ,  $k$ , and  $n - p$  are fixed 0.05, 6, and 49, respectively. From the Fisher test,  $F_{0.05,6,49}$  is 2.295 with respect to the F distribution table. Additionally,  $F_0$  is computed as (Hair et al. 1995)

$$F_0 = \frac{MS_R}{MS_E} \quad (20)$$

where  $MS_R$  = regression mean square; and  $MS_E$  = error mean square.  $MS_R$  and  $MS_E$  are computed as

$$MS_R = \frac{SSR}{k} \quad (21)$$

$$MS_E = \frac{SSE}{n - p} \quad (22)$$

Moreover, SSR and SSE are the sum of squares due to regression and the sum of squares of error, respectively, being computed as

$$SSR = \sum_{i=1}^{NT} (d_s/d_{0i(Predicted)} - d_s/d_{0i(Observed)})^2 \quad (23)$$

$$SSE = \sum_{i=1}^{NT} (d_s/d_{0i(Predicted)} - \bar{d}_s/d_{0i(Observed)})^2 \quad (24)$$

Statistical results of Fisher test have been given in Table 5. For the  $d_s/d_0$  prediction, with respect to  $F_{0.05,6,49}$ ,  $F_0$  values obtained by EPR ( $F_0 = 1.386$ ) and MT ( $F_0 = 1.737$ ) models have accepted hypothesis and consequently indicated accurate estimation of  $d_s/d_0$  compared with GEP approach ( $F_0 = 2.352$ ). As seen in Table 5, all the empirical equations have rejected hypothesis of Fisher test. For instance, Eq. (2) produced higher level of  $F_0$  (5.505) in comparison

Table 5. Analysis of variance for the scour depth prediction

Model	$MS_R$	$MS_E$	$F_0$	State of hypothesis
EPR	1.438	1.037	1.386	Accept
MT	1.815	1.045	1.737	Accept
GEP	1.94	0.825	2.352	Reject
Eq. (1)	8.211	1.922	4.272	Reject
Eq. (2)	176.44	32.05	5.505	Reject
Eq. (4)	11.867	3.417	3.473	Reject
Eq. (5)	31.76	7.727	4.11	Reject

with  $F_{0.05,6,49}$  value and consequently Eq. (2), proposed by Abt et al. (1984), is indicative of being lower capability in the  $d_s/d_0$  estimation.

## Conclusions

In the current investigation, EPR, MT, and GEP techniques in terms of mathematical expressions were developed to evaluate the local scour depth at culvert outlets. To obtain the optimum relationships on the basis of the proposed AI models, a dimensional analysis was used to extract dimensionless parameters affecting on the scour process at culvert outlets. Performance of the proposed techniques for training and testing stages were assessed using some statistical indices. Beside, empirical equations proposed by Abt et al. (1984), Lim (1995), Liriano and Day (2001), and Azamathulla and Haque (2012) were employed to compare with the EPR, GEP, and MT. From this study, fundamental conclusions were understood as follows:

- Quantitative results of statistical measures in the training stage indicated that linear equations given by MT provided the local scour depth with relatively accurate estimation (RMSE = 0.465 and AIC = 750.95) in comparison with GEP (RMSE = 0.582 and AIC = 839.81) and GEP (RMSE = 0.495 and AIC = 776.71).
- In the testing stage, performance of AI models demonstrated that EPR method predicted the scour depth with higher level of precision (RMSE = 0.419 and AIC = 117.588) than those obtained using GEP (RMSE = 0.487 and AIC = 132.264) and MT (RMSE = 0.471 and AIC = 129.013) techniques. Overall, it can be concluded that Eq. (15) extracted from EPR performance was selected as the best model in terms of precision rather than linear and non-linear equations given by GEP and MT.
- Performance of empirical equations indicated that Eq. (3), proposed by Lim (1995), provided the local scour depth with higher amount of computational error (RMSE = 4.648 and AIC = 353.27) than other traditional equations. From qualitative comparisons among empirical equations, Eq. (3) had significant over-prediction of the local scour depth for the observed  $d_s/d_0$  between 1.6 and 6.4.
- Graphical variations of  $d_s/d_0$  versus  $Fr_d$  indicated that results of the proposed AI models had a remarkable coincidence with those reported in literature. To put it another way, an upward trend demonstrated in Fig. 8 confirmed that the scour depth at culvert outlets was a function fundamentally of densimetric Froude number. This findings was in well agreement with Lim (1995) investigations.
- In order to assign the best model with permissible level of accuracy, performance of Fisher test indicated that EPR and MT were capable to present relatively lower level of computational error rather than GEP model.

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